

RCE/2800
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PTO/SB/30 (09-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|--|-------------------------------|--------------------|
| Request for Continued Examination (RCE) Transmittal Address to: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Application Number | 10/057,427 |
| | Filing Date | 01/25/2002 |
| | First Named Inventor | Alexander Ksendzov |
| | Art Unit | 2828 |
| | Examiner Name | Al Nazer, Leith A |
| | Attorney Docket Number | 0007975-0032 |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____

ii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☐ Other _____

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other _____

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 501215

a. ☒

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other _____

b. ☒ Check in the amount of \$ 385.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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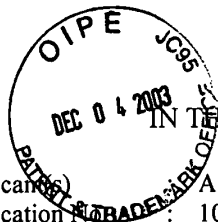
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED | | | |
|---|-------------------|-----------------------------------|------------------|
| Name (Print/Type) | J. D. HARRIMAN II | Registration No. (Attorney/Agent) | 31, 967 |
| Signature | | Date | December 1, 2003 |

| CERTIFICATE OF MAILING OR TRANSMISSION | |
|--|------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below. | |
| Name (Print/Type) | MARY HELEN LOPEZ |
| Signature | |
| Date | December 1, 2003 |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.114. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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01 FC:2801 385.00 DP



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Alexander Ksendzov
Application No. : 10/057,427
Filing Date : January 25, 2002
Title : **Ring Resonator Based Narrow-Linewidth Semiconductor Lasers**
Group/Art Unit : 2828
Examiner : Al Nazer, Leith A
Reference No. : 0007975-0032

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CERTIFICATE OF MAILING (37 C.F.R. § 1.8A)

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Mary Helen Lopez
Mary Helen Lopez

AMENDMENT/RESPONSE TRANSMITTAL LETTER

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Enclosed is an amendment to the above-identified application.

| CALCULATION OF FEES | | | | | | |
|---------------------|--|---|----------------------------|-------------------------|----------|-----|
| ITEM | | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PAID FOR | EXCESS CLAIMS X RATE | SUBTOTAL | FEE |
| A | TOTAL CLAIM FEES | 12 | 20 | 0 x \$9.00 | | 0 |
| B | INDEPENDENT CLAIMS | 2 | 3 | 0 x \$43.00 | | 0 |
| C | SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 x (A + B) | | | | 0.00 | |
| D | MULTIPLE-DEPENDENT CLAIMS FEE*** | SMALL ENTITY FEE = \$145.00 LARGE ENTITY FEE = \$ 290.00 | | | 0 | |
| E | TOTAL FILING FEE | | | | 0 | |
| F | NO ADDITIONAL FEE REQUIRED**** | IF NO FEE REQUIRED, INSERT "0" | | | | |

List Independent Claims: 1, 12

* IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 20 OR LESS, WRITE "20" IN COLUMN 3
** IF HIGHEST NUMBER PREVIOUSLY PAID FOR IS 3 OR LESS, WRITE "3" IN COLUMN 3
*** PAY THIS FEE ONLY WHEN MULTIPLE DEPENDENT CLAIMS ARE ADDED FOR THE FIRST TIME
**** IF NO FEE REQUIRED, ADDRESS ENVELOPE TO "BOX NON-FEE AMENDMENTS"

____ Attach is our check for _____ to pay the fees calculated above.
____ A Petition for Extension of Time and the required fee are enclosed.
____ Other enclosures:

Authorization and Address for Correspondence:

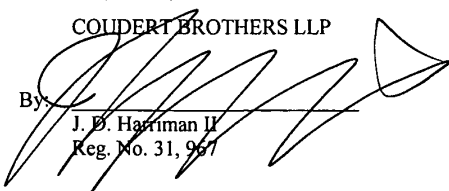
| | |
|---|--|
| X | The Commissioner is authorized during the prosecution of this application to charge fees that may be required or credit any overpayment of fees to Deposit Account No. 501215, except for payment of patent issue fees required under 37 CFR § 1.18. Please show our above-referenced number with any credit or charge to our Deposit Account. A copy of this letter is enclosed. |
|---|--|

Please address all correspondence to **J.D. Harriman II, COUDERT BROTHERS LLP, 333 South Hope Street, 23rd Floor, Los Angeles, California 90071.**

Respectfully submitted,

COUDERT BROTHERS LLP

Date: December 1, 2003

By: 
J. D. Harriman II
Reg. No. 31, 967

COUDERT BROTHERS LLP
333 South Hope Street, Suite 2300
Los Angeles, California 90071
(213) 229-2900

LOSANGELES 114069v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Alexander Ksendzov
Application No. : 10/057,427
Filed : January 25, 2002
Title : **Ring Resonator Based Narrow-Linewidth Semiconductor Lasers**
Group/Unit : 2828
Examiner : Al Nazer, Leith A
Reference : 0007975-0032

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(37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to the address shown below.

Date of Deposit: December 1, 2003

Mary Helen Lopez

COUDERT BROTHERS LLP
333 South Hope Street
Suite 2300
Los Angeles, California 90071
(213) 229-2900

AMENDMENT AND RESPONSE

Mail Stop RCE
Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Dear Examiner:

This is an amendment and response to the outstanding final office action dated August 29, 2003 in the present application. Please make the following amendments in the present application.

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